

TRANSMITTAL FORM FOR FILING PATENT APPLICATION

Attorney
Docket No.: KT-001AX

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BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Date: January 28, 2000
First Named Inventor or
Application Identifier: James D. Schlick

Sir:

Transmitted herewith under 37 CFR § 1.53 for filing is the patent application of:

| | | |
|-----------------------------|--------------------------|---------------------|
| Inventors: James D. Schlick | Rich Berner | Joel Schwarzbart |
| Andrew D. Longman | Gloria Gery | Peter DePaula |
| Betsy L. Alvarez | Robert Yardumian | Barbara Stoeber |
| Matt Hummel | Katherine Nicole Bussard | Michael Smith |
| Sandra Lee | Sean Connelly | Christabel Nazareth |
| Jad Santos | Justin Wilmsmeyer | James Mullins |
| Phong Dinh | Martin Vernon | Thomas H. Irwin |
| Rachel Cline | Karl Hogquist | |

Entitled: METHOD AND APPARATUS FOR PROBLEM SOLVING, DECISION MAKING AND STORING,
ANALYZING, AND RETRIEVING ENTERPRISEWIDE KNOWLEDGE AND CONCLUSIVE DATA

[X] This is a request for filing a [X] **continuation** [] **divisional** [] **continuation in part** application under §1.53(b) of prior Application No. 09/347,238, filed July 2, 1999 entitled: METHOD AND APPARATUS FOR PROBLEM SOLVING, DECISION MAKING AND STORING, ANALYZING, AND RETRIEVING ENTERPRISEWIDE KNOWLEDGE AND CONCLUSIVE DATA

Enclosed are:

- [X] 43 pages of written description, claims and Abstract, inclusive
- [X] 127 sheets of [X] informal [] formal drawings of Figs. 1-137 (one set)
- [X] Oath or Declaration
 [] Newly executed (original or copy)
 [] Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional).
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- [X] To be filed later

[] Cover sheet and Assignment of the invention to:

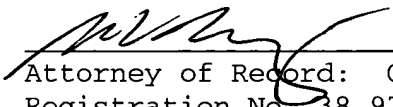
[] Certified copy of a _____ application (if foreign priority is claimed) with letter claiming priority under Rule 55.

TRANSMITTAL FORM FOR FILING PATENT APPLICATION (CONTINUED)

Attorney Docket No.: KT-001AX

- [X] Information Disclosure Statement with 14 citations
- [X] Preliminary amendment is enclosed.
- [X] Return receipt postcard
- [X] Other: *Petition for Extension of Time Under Section 1.136 for parent case
Serial No. 09/347,238 (COPY)
Request for Deletion of Inventor Under MPEP § 201.03*
- [X] Verified statement of Small Entity was filed in prior application. Status still proper and desired
- [X] Priority is claimed under 35 USC § 120 as indicated on the attached sheet 4.
- [] Priority is claimed under 35 USC §119(a)-(d) as indicated on the attached sheet 4.
- [X] Priority is claimed under 35 USC §119 (e) as indicated on the attached sheet 4.

[X] Christopher J. Lutz is hereby appointed Associate Attorney by:
Registration No.: 44,883



Attorney of Record: Gordon R. Moriarty
Registration No. 38,973

[] **Power of Attorney** in the originally-filed application has been granted to one or more of the registered attorneys listed below. The attorneys listed below not previously granted power in the originally-filed application, as well as _____, are hereby given associate power:
Registration No.:

Stanley M. Schurgin, Reg. No. 20,979
Charles L. Gagnebin III, Reg. No. 25,467
Paul J. Hayes, Reg. No. 28,307
Victor B. Lebovici, Reg. No. 30,864

Eugene A. Feher, Reg. No. 33,171
Beverly E. Hjorth, Reg. No. 32,033
Holliday C. Heine, Reg. No. 34,346
Gordon R. Moriarty, Reg. No. 38,973

- [] Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- [] Add in this application claims _____ per amendment before calculating fee.

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TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

| CLAIMS FILED: | MINUS BASE: | EXTRA CLAIMS: | RATE: | BASIC FEE: |
|---|-------------|---------------|--------------|------------|
| | | | | \$690.00 |
| Independent | 3 - 3 | = 0 | x \$78.00 = | 0.00 |
| Total | 3 - 20 | = 0 | x \$18.00 = | 0.00 |
| [] Multiple Dependent Claims (1st presentation) | | | + \$260.00 = | 0.00 |
| SUBTOTAL FILING FEE | | | | \$690.00 |
| Small Entity filing, divide by 2. (Note: verified statement must be attached per \$1.9, \$1.27, \$1.28.) | | | | \$345.00 |
| TOTAL Filing Fee | | | | \$345.00 |

[] The filing fee has been calculated above; a check in the amount of _____ is enclosed.

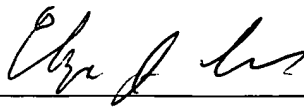
[X] The filing fee will be submitted at a later date.

[X] In the event a Petition for Extension of Time under 37 CFR §1.17 is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.

[] The Commissioner is hereby authorized to charge payment of any additional filing fees under 37 CFR §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

Address all future communications to:

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP
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Attorney of Record: Christopher J. Lutz
 Registration No. 44,883

Attorney Docket No.: KT-001AX

TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

[X] Priority is claimed under 35 USC § 120 of prior Application(s)
No. 09/347,238, filed July 2, 1999, entitled: METHOD AND APPARATUS FOR PROBLEM
SOLVING, DECISION MAKING AND STORING, ANALYZING, AND RETRIEVING
ENTERPRISEWIDE KNOWLEDGE AND CONCLUSIVE DATA

[] The above-identified application(s) is/are assigned of record to:

[] Priority is claimed under 35 USC § 119 (a)-(d) of the following application(s).

| <u>(Application Number)</u> | <u>(Country)</u> | <u>(Filing Date)</u> |
|-----------------------------|------------------|----------------------|
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| <u>(Application Number)</u> | <u>(Country)</u> | <u>(Filing Date)</u> |
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| <u>(Application Number)</u> | <u>(Country)</u> | <u>(Filing Date)</u> |
|-----------------------------|------------------|----------------------|

[] The above-identified application(s) is/are assigned of record to:

[X] Priority is claimed under 35 USC § 119 (e) of the following provisional application(s).

| | |
|----------------------|---------------------|
| <u>60/091,476</u> | <u>July 2, 1998</u> |
| (Application Number) | (Filing Date) |

| | |
|----------------------|---------------------|
| <u>60/133,746</u> | <u>May 12, 1999</u> |
| (Application Number) | (Filing Date) |

| | |
|-----------------------------|----------------------|
| <u>(Application Number)</u> | <u>(Filing Date)</u> |
|-----------------------------|----------------------|

[X] The above-identified provisional application no. 60/091,475 is assigned of record to: KEPNER-TREGOE, INC.

[X] The claim of small entity status in the above-identified provisional application(s) is made in this application and a copy of the small entity form(s) from the provisional application(s) is/are enclosed.

DGB/CJL/jds

SUBMIT IN TRIPLICATE

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